March 20, 1972

TO: History Department, Sir George Williams University
FROM: Martin Singer
RE: Modern China as a Minor Field for the Ph.D. in History

Beginning in the Fall of 1974 incoming doctoral students in history must both prepare themselves to be examined on the secondary literature in their chosen minor field and participate in a research seminar in that field. It is my intention to accomodate both of these requirements within the confines of History 861, the Doctoral Research Seminar on Modern China. The actual format of the course -- seminar or tutorial -- will depend on the enrollment in a given year, but in either case the student will be expected to pursue both historiographical and research tasks throughout the year.

a. Historiography and field preparation.
Beginning in September the student will embark on a thematic reading program involving approximately three books per week from the appended list of one hundred required titles on Modern China. He will produce a one page single-spaced typewritten precis (author, thesis, methodology, criticism) for each title and a one or two page introductory statement for each set of precis grouped together by theme. The seminar will meet periodically to discuss the readings in each section. In general, the student can anticipate twenty such historiographical sessions. The student will continue his readings and precis and discussions until he has completed the list of required readings. He will then be expected to write a short bibliographical essay identifying major problems in modern Chinese history; the year's precis will be appended to this essay.

b. Research experience
During the fall semester the student will also be introduced to basic bibliographical and research tools and will be made aware of the availability of Western-language primary source material in certain sub-fields of modern Chinese history. He will -- in consultation with the instructor -- select a topic for further extensive research that makes maximum use of his linguistic abilities and available research material. The student will assemble a bibliography during the fall and will consult frequently with the instructor on the state of his research. He will be expected to produce a literate original piece of research based on primary source material and of publishable quality.

The instructor appreciates that this constitutes a heavy work load for the student but insists that this is the most expeditious way to satisfy the demands of the new program. He realizes that it may occasionally be necessary to extend the deadline for submission of final papers until June, but June 30 should be regarded as the final cut-off point for work begun the previous September.

Modern China -- Required Readings Arranged by Topic

I. The Traditional Setting

Ch'u T'ung-tsu, Local Government in China Under the Ch'ing P
Ho Ping-ti, The Ladder of Success in Imperial China: Aspects of Social Mobility, 1368-1911 P
Hsiao Kung-chuan, Rural China: Imperial Control in the Nineteenth Century P
Kahn, Harold, Monarchy in the Emperor's Eyes: Image and Reality in the Ch'ien-lung Reign
Fairbank, John K., The Chinese World Order: Traditional China's Foreign Relations

II. The Opium War and its Aftermath

Chang Hsin-pao, Commissioner Lin and the Opium War P
Fairbank, John, Trade and Diplomacy on the China Coast: The Opening of the Treaty Ports, 1842-1854 P
Greenberg, Michael, British Trade and the Opening of China
Teng Ssuoyu and J.F. Fairbank, China's Response to the West: A Documentary Survey, 1839-1923 P (Documents 1-6)
Wakeman, Frederic, Strangers at the Gate: Social Disorder in South China, 1839-1861 P

Cranmer-Byng, J.L., "Lord Macartney's Embassy to Peking in 1793" Journal of Oriental Studies IV: 1-2: 117-183.

III. The Taiping Rebellion

Boardman, Eugene P.: Christian Influence Upon the Ideology of the Taiping Rebellion, 1851-1964
Chiang Siang-tseh, The Nien Rebellion
Gregory, John S., Great Britain and the Taipings
Kuhn, Phillip A., Rebellion and its Enemies in Late Imperial China: Militarization and Social Structure, 1796-1864
Michael, Franz, The Taiping Rebellion: History and Documents (3 vols.) Vol I-P
Shih, Vincent, The Taiping Ideology: Its Sources, Interpretations and Influence P
Teng Ssu-yu, The Taiping Rebellion and the Western Powers
Hsueh Chun-tu, Revolutionary Leaders of Modern China (articles 1-4) P

Taylor, George E., "The Taiping Rebellion: Its Economic Background and Social Theory" The Chinese Social and Political Science Review 32: 545-614

IV. The Self-Strengthening Movement, 1861-1895

Banno, Masataka, China and the West, 1858-1861: The Origins of the Tsungli Yamen
Biggerstaff, Knight, The Earliest Modern Government Schools in China
Feuerwerker, Albert, China's Early Industrialization: Sheng Hsuan-huai (1844-1916) and Mandarin Enterprise P

Hao Yen-p'ing, The Compradore in Nineteenth Century China: Bridge Between East and West

Hou Chih-ming, Foreign Investment and Economic Development in China, 1840-1937

LeFevour, Edward, Western Enterprise in Late Ch'ing China: Selective Survey of Jardine, Matheson and Company's Operations, 1842-1895

Liu Kwang-chih, Anglo-American Steamship Rivalry in China, 1862-1874

Lockwood, Stephen C., Augustine Heard and Company, 1858-1862: American Merchants in China on the Eve of the Opening of the Yangtze

Meng, S.M., The Tsungli Yamen: Its Organization and Function P

Rawlinson, John L., China's Struggle for Naval Development, 1839-1895

Spector, Stanley, Li Hung-chang and the Huai Army: A Study in Nineteenth Century Chinese Regionalism

Teng and Fairbank, China's Response (documents 7-32) P

Wright, Mary C., The Last Stand of Chinese Conservatism: The T'ung-chih Restoration, 1862-1874 P

LaFargue, Thomas E., "China's Educational Commission to the United States" Far Eastern Quarterly 1:59-79 (1949)

V. China's Foreign Relations, 1861-1895

Cohen, Paul A., China and Christianity: The Missionary Movement And the Growth of Chinese Anti-foreignism, 1860-1870

Eastman, Lloyd, Throne and Mandarins: China's Search for a Policy During the Sino-French Controversy, 1880-1885

Hsu, Immanuel, China's Entrance into the Family of Nations: The Diplomatic Phase, 1850-1880

Pelcovits, Nathan A., Old China Hands and the Foreign Office

Teng and Fairbank, China's Response (documents 33-38) P

Fairbank, John K., "Patterns Behind the Tientsin Massacre" Harvard Journal of Asiatic Studies 2-20:3-4:480-511

Tsiang, T.F., "Sino-Japanese Diplomatic Relations, 1870-1894" Chinese Social and Political Science Review 17:1:1-106 (April, 1933)

Wright, Mary C., "The Adaptibility of Ch'ing Diplomacy: The Case of Korea" Journal of Asian Studies XVII:3:363-381 (May, 1958)

VI. The Reform Movement of 1898

Cameron, Meribeth, The Reform Movement in China, 1898-1912

Teng and Fairbank, China's Response (documents 39-47) P

Hsiao Kung-ch'uan, "Weng T'ung-ho and the Reform Movement of 1898" Tsinghua Journal of Chinese Studies n.s. 1:2:111-245 (April, 1957)

VII. The Boxer Movement of 1900

Tan, Chester C., The Boxer Catastrophe P

Varg, Paul A., The Making of A Myth: The U.S. and China, 1897-1912

Wehrle, Edmund S., Britain, China and the Anti-missionary Riots, 1891-1900

Teng and Fairbank, China's Response, (documents 48-49) P

VIII. The Last Years of the Ch'ing (1901-1911): Politics and Economics

Franke, Wolfgang, The Reform and Abolition of the Traditional Chinese Examination System P
Powell, Ralph, The Rise of Chinese Military Power, 1895-1912
Sun E-tu Zen, Chinese Railways and British Interests, 1898-1911
Vevier, Charles, The United States and China, 1906-1913 A Study of Finance and Diplomacy

Teng and Fairbank, China's Response (documents 50-54) P
Ho Ping-ti, "The Significance of the Ch'ing Period in Chinese History" Journal of Asian Studies XXVI:2:189-195 (Feb. 1967)

IX. Intellectual Change in Late Ch'ing China

Levenson, Joseph R., Liang Ch'i-ch'ao and the Mind of Modern China P
Levenson, Joseph R., Confucian China and its Modern Fate: A Trilogy P
Lutz, Jessie G., China and the Christian Colleges, 1850-1950
Schwartz, Benjamin I., In Search of Wealth and Power: Yen Fu and the West P
Teng and Fairbank, China's Response (document #55) P
Wang Y.C., Chinese Intellectuals and the West, 1872-1949

X. The Revolution of 1911

Gasster, Michael, Chinese Intellectuals and the Revolution of 1911: A Critical Survey and Selected Bibliography
Hsueh Chun-tu, Huang Hsing and the Chinese Revolution
Hsueh Chun-tu, Revolutionary Leaders of Modern China, pp. 87-270 P
Jansen, Marius B., The Japanese and Sun Yat-sen P
Liew Kit Siong, Struggle for Democracy: Sung Chiao-jen and the 1911 Revolution
Rankin, Mary B., Early Chinese Revolutionaries: Radical Intellectuals in Shanghai and Chekiang, 1902-1911 P
Schiffrin, Harold Z., Sun Yat-sen and the Origins of the Chinese Revolution P
Sharman, Lyon, Sun Yat-sen: His Life and its Meaning P
Teng and Fairbank, China's Response (document #56) P
Wright, Mary, China in Revolution: The First Phase, 1900-1913 P

Scalapino, Robert A., "Prelude to Marxism: The Chinese Student Movement in Japan," 1900-1910" in Feuerwerker, Approaches to Modern Chinese History, pp. 190-215

XI. Republicanism and Warlordism

Ch'en, Jerome, Yuan Shih-k'ai
Gillin, David, Warlord: Yen Hsi-shan in Shansi Province, 1911-1949
Sheridan, James E., Chinese Warlord; The Career of Feng Yu-hsiang P
Yu, George T., Party Politics in Republican China: The Kuomintang, 1912-1924
Ch'ien Tuan-sheng, The Government and Politics of China, 1912-1949 P

XII. The May Fourth Movement (1915-1924)

Chow Tse-tung, The May Fourth Movement: Intellectual Revolution in Modern China P
Fifield, Russel H., Woodrow Wilson and the Far East: The Diplomacy of the Shantung Question
Grieder, Jerome B., Hu Shih and the Chinese Renaissance: Liberalism in the Chinese Revolution, 1917-1937
Levenson, Confucian China volume I chapters 8-9 P
Levenson, Liang Ch'i-ch'ao. part III P
Meisner, Maurice, Li Ta-chao and the Origins of Chinese Marxism P
Schrecker, John E., Imperialism and Chinese Nationalism: Germany in Shantung
Teng and Fairbank, China's Response (documents 57-63) P

XIII. The First United Front, 1921-1927: CCP and KMT Together

Brandt, Conrad, Stalin's Failure in China, 1924-1927 P
Brandt, Conrad, Benjamin Schwartz and John K. Fairbank, A Documentary History of Chinese Communism, sections I and II P
Chesneaux, Jean, The Chinese Labor Movement, 1919-1927
Harrison, James P., The Long March to Power: A History of the Chinese Communist Party, 1921-1972, chapters 1-6 P
Ho Kan-chih, A History of the Modern Chinese Revolution (Peking, 1959)
Hsueh Chun-tu, Revolutionary Leaders of Modern China, pp. 271-470 P
North, Robert C., and X.J. Eudin, M.N. Roy's Mission to China
Schwart, Benjamin, Chinese Communism and the Rise of Mao P
Trotsky, Leon, Problems of the Chinese Revolution P
Whiting, Allen S., Soviet Policies in China, 1917-1924 P
Wilbur, C.M. and How, Documents on Communism, Nationalism and Soviet Advisers in China, 1918-1927: Papers Seized in the 1927 Peking Raid
Teng and Fairbank, China's Response (documents 64 and 65)
MacFarquhar, Roderick, "The Whampoa Military Academy" Papers on China (Harvard) volume 9 (1955)

XIV. CCP, 1927-1935: 3 "left" lines and the Kiangsi Soviet

Brandt et al, Documentary History, sections III and IV P
Harrison, The Long March, chapters 7-11 P
Hsia, T.A., The Gate of Darkness: Studies on the Leftist Literary Movement in China P
Hsiao Tso-liang, Power Relations Within the Chinese Communist Movement, 1930-1934 volume I
McLane, Charles B., Soviet Policy and the Chinese Communists, 1931-1946
Rue, John E., Mao Tse-tung in Opposition, 1927-1935
Thornton, Richard C., The Comintern and the Chinese Communists, 1928-1931
Schneider, Laurence, Ku Chieh-kang and China's New History
Hsueh Chun-tu, Revolutionary Leaders, pp. 471-516 P

XV. Chiang Kai-shek and the Kuomintang, 1928-1937

Ch'ien Tuan-sheng, The Government and Politics of China, 1912-1949 P passim
Israel, John, Student Nationalism in China, 1927-1937
Liu, F.F., A Military History of Modern China, 1924-1949
Thompson, James C., While China Faced West: American Reformers in Nationalist China, 1928-1937
Van Slyke, Lyman, Enemies and Friends: The United Front in Chinese Communism

XVI. CCP, 1935-1945: The Yenan Period

Brandt et al, A Documentary History, sections V and VI P
Compton, Boyd, Mao's China: Party Reform Documents, 1942-1944 P
Harrison, The Long March, chapters 12-17 P
Johnson, Chalmers, Peasant Nationalism and Communist Power: The Emergence of Revolutionary China, 1937-1945 P
Selden, Mark, The Yenan Way in Revolutionary China P
Snow, Edgar, Red Star Over China P
Van Slyke, Lyman, The Chinese Communist Movement: A Report of the U.S. War Department, July, 1945 P

XVII. Japan in China, 1931-1945

Borg, Dorothy, The United States and the Far Eastern Crisis of 1933-1938
Bunker, Gerald E., The Peace Conspiracy: Wang Ching-wei and the China War, 1937-1941
Clifford, Nicholas, Retreat from China: British Policy in the Far East, 1937-1941
Feis, Herbert, The China Tangle P
Jones, F.C., Manchuria Since 1931
Ogata Sadako, Defiance in Manchuria: The Making of Japanese Foreign Policy
Tuchman, Barbara, Stilwell and the American Experience in China, 1911-1945 P
Vincent, John C., The Extraterritorial System in China: Final Phase P

XVIII. Civil War in China, 1945-1949

Koen, Ross Y., The China Lobby in American Politics
Melby, John F., The Mandate of Heaven: Records of Civil War: China, 1945-1949 P
Porter, Brian, Britain and the Rise of Communist China, 1945-1954
Tsou Tang, America's Failure in China, 1941-1950 P
Van Slyke, Lyman, The China White Paper: August, 1949 P
Harrison, The Long March, chapters 18-20
Brandt et al, A Documentary History section VII

STUDENTS WHO WISH TO READ ON THE PEOPLES REPUBLIC SHOULD ALSO ADD THE FOLLOWING SECTION:

XVIX. The People's Republic in China, 1949-

A. On Mao Tse-tung
Schram, Mao Tse-tung P
Mao Tse-tung, Selected Writings (4 volumes) P
Wakeman, Frederic, History and Will: Philosophical Perspectives on Mao's Thought

B. Politics and Ideology
Lifton, R.J., Thought Reform and the Psychology of Totalism P
Schurmann, Franz, Ideology and Organization in Communist China P
Townsend, James, Political Participation in Communist China P

C. Education
Fraser, Stewart, Chinese Communist Education: Records of the First Decade P
Seybolt, Peter J., Revolutionary education in China: Documents & Commentary

D. Foreign Relations
Hinton, Harold, China's Turbulent Quest P
Zagoria, Donald, The Sino-Soviet Conflict, 1956-1961 P

E. Peasants and Workers
Hinton, William, Fanshen: A Documentary of Revolution in a Chinese Village P
Richman, Barry, Industrial Society in Communist China P